

# UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/866,805	05/30/2001	Susumu Honma	109656	5667	
25944 75	90 07/12/2006		EXAM	EXAMINER	
OLIFF & BERRIDGE, PLC			KOROBOV, VITALI A		
P.O. BOX 1992 ALEXANDRIA	=		ART UNIT	PAPER NUMBER	
			2155	2155	
		DATE MAILED: 07/12/2006			

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
Office Action Summary	09/866,805	HONMA ET AL.			
	Examiner	Art Unit			
The MAIL INC DATE of this communication and	Vitali Korobov	2155			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tim  11 apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	l. the mailing date of this communication. (35 U.S.C. § 133).			
Status					
<ul> <li>1) Responsive to communication(s) filed on 11 Ag</li> <li>2a) This action is FINAL. 2b) This</li> <li>3) Since this application is in condition for allowant closed in accordance with the practice under E</li> </ul>	action is non-final. ace except for formal matters, pro				
Disposition of Claims					
4) ☐ Claim(s) 1,3-6,8,9,11 and 13-15 is/are pending 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1,3-6, 8, 9, 11, and 13-15 is/are reject 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or Application Papers  9) ☐ The specification is objected to by the Examines 10) ☐ The drawing(s) filed on is/are: a) ☐ access that any objection to the objected to the content of the co	vn from consideration.  ed.  election requirement.  r.  epted or b) □ objected to by the Edrawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:				

Application/Control Number: 09/866,805 Page 2

Art Unit: 2155

#### **RESPONSE TO AMENDMENT**

1. This Office Action is in response to an amendment filed on 04/11/2006.

2. Claims 16-18 have been cancelled. Claims 1 and 6 were amended.

Claims 1, 3-6, 8, 9, 11 and 13-15 are currently pending.

### Claim Objections

3. Claim 1 is objected to because of the following informalities: Claim 1 is quoted in the Applicants' "Remarks" to read "definition of information" while line 5 of the claim reads "definition or information". In the interest of speeding up the prosecution of the instant application the Examiner assumed that the claim 1 was meant to read "definition of information" to match the quote in the "Remarks" and the wording of claim 6. Appropriate correction is required.

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1 and 6 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claims contain new subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Art Unit: 2155

Claims 1 and 6 recite "definition of information" in line 5 and line 6, respectively.

The specification does not contain words "define", "defining" or "definition" in combination with "information" or stand alone.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1, 5, 6, 11, 13, 14 and 15 are rejected under 35 U.S.C. 102(b) as being anticipated by the U.S. Patent 5,819,301 issued to Rowe et al., hereinafter Rowe.

With respect to claim 1, Rowe teaches a document data transmission device comprising: storage means for storing plural documents including plural pages (Col. 25, lines 19-22 – storage of documents on server; col. 26, lines 36-37 – storage on server of a plurality of documents; col. 26, lines 7 – 9 – documents may contain plurality of pages); the storage means further storing plural summary data corresponding to the plural documents (Col. 11, line 45 and Fig. 2a – documents have a table of contents and bookmarks), each summary data including contents information and definition of information (Col. 3, lines 46-48 and lines 55-57 – page content information); page data transmission request receiving means for receiving a page data transmission request transmitted from a user terminal (Col. 25, lines 10-13, downloading (transmission) request receiving means) requesting to transmit specific page contained in a specific

document selected among the plural documents stored in the storage means (Col. 25, lines 15 - 19 - "finder" performs the selection of the document); page data transmitting means for transmitting the specific page contained in the specific document to the user terminal, on the basis of the page data transmission request received by the page data transmission request receiving means (Col. 4, lines 9-12 – user can download a specific page of a document); summary data transmission request receiving means for receiving summary data transmission request transmitted from the user terminal, requesting to transmit a specific summary data selected among the plural summary data stored in the storage means (Col. 25, lines 25-29 - user requests to download a document and receives a header and a range table, containing summary information about the document); and summary data transmitting means for transmitting the specific summary to the user terminal, on the basis of the summary data transmission request received by the summary data transmission request receiving means, wherein the user terminal displays the specific summary data so that a user can select the specific page that includes contents of information of interest to the user (Fig. 10, step 224 – user requests a document. Step 226 – user receives document summary information. Based on the page information determined by the finder (step 230), user downloads a particular page of a document (step 234)).

With respect to claim 5, Rowe teaches a document data transmission reception system comprising: the document data transmission device according to claim 1; and a user terminal for transmitting a summary data transmission request requesting to transmit the specific summary data to the document data transmission device (Fig. 1,

computer 10; Col. 9, lines 8-9) and receiving the specific summary data transmitted from the document data transmission device (Col. 25, lines 25-29 – user requests to download a document and receives a header and a range table, containing summary information about the document).

Claim 6 is rejected in view of the above rejection of claim 1, because claim 6 is essentially the same as claim 1, except that said claim 6 sets forth the invention as a document data transmission method rather than a document data transmission device, as does claim 1.

With respect to claim 11, Rowe teaches the document data transmission device of claim 1, wherein the ground-based network comprises the Internet (Col. 2, lines 4-17 – retrieval of documents over the Internet).

With respect to claim 13, Rowe teaches the document data transmission device of claim 1, wherein each page corresponds to at least one of data to be printed out on a single sheet of recording paper and data to be viewed on a single screen of a display (Col. 4, lines 9-12).

With respect to claim 14, Rowe teaches the document data transmission device of claim 1, wherein the page data includes at least one of text data and picture data (Col. 3, lines 55-57).

With respect to claim 16, Rowe teaches the document data transmission device of claim 1, wherein the specific summary data includes summary data for each page of each of the plural documents (Col. 25, lines 19-22 – storage of documents on server; col. 26, lines 36-37 – storage on server of a plurality of documents; col. 26, lines 7 – 9 –

documents may contain plurality of pages. Col. 3, lines 46-48 - content information (summary) for individual pages).

Claim 17 is rejected in view of the above rejection of claim 16, because claim 17 is essentially the same as claim 16, except that said claim 17 sets forth the invention as a document data transmission method rather than a document data transmission device, as does claim 16.

Claim 18 is rejected in view of the above rejection of claim 16, because claim 18 is essentially the same as claim 16, except that said claim 18 sets forth the invention as a document data transmission system rather than a document data transmission device, as does claim 16.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in

order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 3, 4, 8, 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rowe in view of the U.S. Patent 6,775,519 issued to Weideman et al., hereinafter Weideman.

With respect to claim 3, Rowe teaches a document data transmission device according to claim 1.

Rowe does not explicitly teach a billing amount calculating means for calculating a billing amount charged to a transmission of the specific page and to a transmission of the summary data, on the basis of a unit price charged to a transmission of a unit data quantity of the specific page and a unit price charged to a transmission of a unit data quantity of the summary data.

Weideman, an analogous art, describes a system that allows a user to download content via bi-directional communications through a satellite network, and further describes a method and an apparatus a billing amount calculating means for calculating a billing amount charged to a transmission of the specific page and to a transmission of the summary data, on the basis of a unit price charged to a transmission of a unit data quantity of the specific page and a unit price charged to a transmission of a unit data quantity of the summary data. (Col. 11, lines 59-67 and col. 12, lines 1-7).

It would have been obvious to one having ordinary skills in the art at the time the invention was made to combine selective page download capabilities of Rowe with per page billing features of Weideman in order to provide an improved method and

Application/Control Number: 09/866,805

Art Unit: 2155

apparatus for accounting for system usage in a connectionless type of satellite communication system, to provide an improved method and apparatus for accounting for session-based system usage in a satellite communication system wherein users employ IP or other types of protocols, and to provide an improved method and apparatus for aggregating system usage data for billing system service providers (Weideman, col. 2, lines 16 - 27).

With respect to claim 4, Rowe teaches a document data transmission reception system comprising: the document data transmission device according to Claim 1; and further discloses a user terminal for transmitting a page data transmission request requesting to transmit the specific page to the document data transmission device through a ground-based network (Col. 2, lines 4-17 – retrieval of documents over the Internet) and receiving the specific page transmitted from the document data transmission device (Col. 4, lines 9-12 – user can download a specific page of a document).

Rowe does not explicitly teach that requested page is being downloaded through a satellite network.

Weiderman teaches a satellite communications system comprising a user terminal (Col. 3, line 20) that receives data for transmitting a page data transmission request requesting to transmit the specific page data to the document data transmission device (Weideman, col. 3, lines 14 – 22), and receiving the specific page data transmitted from the document data transmission device through a satellite network (Fig. 1).

It would have been obvious to one having ordinary skills in the art at the time the invention was made to combine selective page download capabilities of Rowe and Weideman in order to enhance document receiving capabilities of Rowe by providing an additional path for receiving requested documents.

Page 9

Claim 8 is rejected in view of the above rejection of claim 3, because claim 8 is essentially the same as claim 3, except that said claim 8 sets forth the invention as a document data transmission method rather than a document data transmission device, as does claim 3.

With respect to claim 9, Rowe teaches a document data transmission system comprising: storage means for storing plural documents including one or plural pages (Col. 25, lines 19-22 – storage of documents on server; col. 26, lines 36-37 – storage on server of a plurality of documents; col. 26, lines 7 - 9 - documents may contain plurality of pages) and plural summary data including contents information of each of the plural document data pieces (Col. 11, line 45 and Fig. 2a – documents have a table of contents and bookmarks), a user terminal for transmitting a page data transmission request (Fig. 1, computer 10; Col. 9, lines 8-9) requesting to transmit the specific page to the document data transmission device through a ground-based network (Col. 4, lines 9-12 – user can request a specific page of a document. Col. 2, lines 4-17 – request of documents over the Internet), page data transmission request receiving means for receiving a page data transmission request transmitted from a user terminal (process illustrated on Fig. 10), requesting to transmit specific page data contained in specific document data selected among the plural document data pieces stored in the storage

means, page data transmitting means for transmitting the specific page data contained in the specific document data to the user terminal, on the basis of the page data transmission request received by the page data transmission request receiving means (Col. 25, lines 15 – 19 – "finder" performs the selection of the document; col. 7, lines 23-27 – selection of a specific page).

Rowe does not explicitly teach that requested page is being downloaded to the user's terminal through a satellite network.

Weiderman teaches a satellite communications system comprising a user terminal (Col. 3, line 20) that receives data for transmitting a page data transmission request requesting to transmit the specific page data to the document data transmission device (Weideman, col. 3, lines 14 – 22), and receiving the specific page data transmitted from the document data transmission device through a satellite network (Fig. 1).

It would have been obvious to one having ordinary skills in the art at the time the invention was made to combine selective page download capabilities of Rowe and Weideman in order to enhance document receiving capabilities of Rowe by providing an additional path for receiving requested documents.

With respect to claim 15, Rowe teaches a document data transmission system of claim 9, further comprising: summary data transmission request receiving means for receiving a summary data transmission request transmitted from the user terminal; requesting to transmit specific summary data selected among the plural summary data pieces stored in the storage means (Fig. 10, step 224 – user requests a particular

Art Unit: 2155

document), and summary data transmitting means for transmitting the specific summary data to the user terminal, on the basis of the summary data transmission request received by the summary data transmission request receiving means (See Fig. 10, step 226 – user receives document summary information).

8. **Examiner's note:** Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

## Response to Arguments

9. Applicants' arguments with respect to claims 1, 3-6, 8, 9, 11, and 13-18 have been considered but they are not persuasive.

With respect to the rejection of claims 1 and 6, the Applicants argue - "Rowe, however, fails to disclose a document data transmission device having at least summary data, each summary data including contents information and definitions of information, as recited in claims 1 and 6."

The Examiner respectfully submits that, in view of lack of evidence to the contrary in the Applicants' specification, the Examiner interprets the limitation of "each summary data including contents information and definition of information" as "page content information", disclosed by Rowe in col. 3, lines 46-48 and lines 55-57.

With respect to the rejection of claims 3-4, 8, 9, and 15, the Applicants argue - "Rowe, however, fails to disclose a document data transmission system having at

least a user terminal for transmitting a page data transmission request requesting to transmit the specific page to the document data transmission device through a ground-based network, and a page data transmitting means for transmitting the specific page data contained in the specific document data to the user terminal through a satellite network, as recited in claim 9".

The Applicants further argue - "Weidman, however, also fails to disclose a document data transmission system having at least a user terminal for transmitting a page data transmission request requesting to transmit the specific page to the document data transmission device through a ground-based network, and a page data transmitting means for transmitting the specific page data contained in the specific document data to the user terminal through a satellite network, as recited in claim 9, and therefore, does not make up for the deficiencies of Rowe".

The Office respectfully submits, in response to Applicant's arguments against the references individually, that one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). The combination of references teaches the step of requesting a specific page through a ground-based network, as well as the step of receiving a specific page through a satellite network, with an appropriate motivation to combine these teachings provided in the above rejection of respective claims.

Therefore, the Office respectfully maintains the rejection of all pending claims of the instant application.

#### Conclusion

10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vitali Korobov whose telephone number is 571-272-7506. The examiner can normally be reached on Mon-Friday 8a.m. - 4:30p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Saleh Najjar can be reached on (571)272-4006. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

Art Unit: 2155

you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Vitali Korobov Examiner Art Unit 2155

VAK 07/03/2006

BHARAT BAROT